

01/09/02
JC961 U.S. PTO

01-11-02

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	728-225(YOR9-2001-0578US1)
First Inventor	Louis L. HSU
Title	Semiconductor Memory System Having...
Express Mail Label No.	EV035531769US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 26]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 6]
5. Oath or Declaration [Total Pages]
 a. Newly executed (original or copy)
 b. Copy from a prior application (37 CFR 1.63 (d))
 (for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of
(when there is an assignee) Attorney
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS
Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. Other: IBM fee trans., Assoc. POA.....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No. _____ / _____

Prior application information: Examiner _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

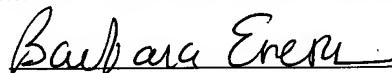
19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)			<input type="checkbox"/> or <input type="checkbox"/> Correspondence address below
Name	Paul J. Farrell, Esq.			
Address	DILWORTH & BARRESE, LLP 333 Earle Ovington Blvd.			
City	Uniondale	State	New York	Zip Code 11553
Country	U.S.A	Telephone	(516) 228-8484	Fax (516) 228-8516
Name (Print/Type)	Paul J. Farrell		Registration No. (Attorney/Agent)	33,494
Signature			Date	01/09/02

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EV035531769US addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Dated: January 9, 2002



Barbara Evers

JC879 U.S. PRO
10/042604

01/09/02

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 812.00)

Complete if Known

Application Number			
Filing Date	Herewith		
First Named Inventor	Louis L. HSU		
Examiner Name			
Group Art Unit			
Attorney Docket No.	728-225 (YOR9-2001-0578 US1)		

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-0510/IBM (Yorktown Heights)

Deposit Account Name IBM (Yorktown Heights)

Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	400	216 200 Extension for reply within second month	
117	920	217 460 Extension for reply within third month	
118	1,440	218 720 Extension for reply within fourth month	
128	1,960	228 980 Extension for reply within fifth month	
119	320	219 160 Notice of Appeal	
120	320	220 160 Filing a brief in support of an appeal	
121	280	221 140 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidable	
141	1,280	241 640 Petition to revive - unintentional	
142	1,280	242 640 Utility issue fee (or reissue)	
143	460	243 230 Design issue fee	
144	620	244 310 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Processing fee under 37 CFR 1.17(q)	
126	180	126 180 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
146	740	246 370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249 370 For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279 370 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	

Other fee (specify) _____

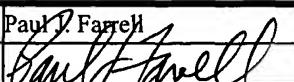
SUBTOTAL (2) (\$ 72.00)

SUBTOTAL (3) (\$)

* Reduced by Basic Filing Fee Paid

SUBMITTED BY

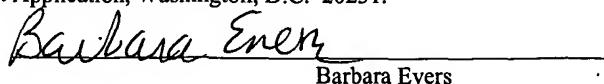
Complete (if applicable)

Name (Print/Type)	Paul J. Farrell	Registration No. (Attorney/Agent)	33,494	Telephone	(516) 228-8484
Signature				Date	01/09/02

CERTIFICATION UNDER 37 C.F.R. § 1.10

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Dated: January 9, 2002



Barbara Evers

Assistant Commissioner for
Washington, D.C. 20231
Sir:

ATTORNEY DOCKET NO. 728-22 (R9-2001-0578 US1)

Date: January 9, 2002

Express Mail Label: EV035531769US

Date of Deposit: January 9, 2002

Transmitted herewith for filing is the Patent Application of:

Inventors: Louis L. Hsu and Rajiv J. Joshi

For: **SEMICONDUCTOR MEMORY SYSTEM HAVING DYNAMICALLY
DELAYED TIMING FOR HIGH-SPEED DATA TRANSFERS**

Enclosed are: 18 sheets of specification; 1 sheet(s) of Abstract; 7 sheet(s) of claims; 6 sheet(s) of drawing(s);

An assignment of the invention to International Business Machines Corporation with Recordation Form.

Declaration and Power of Attorney.

A certified copy of a _____ application, from which priority under Title 35 USC §119 is claimed.

Associate Power of Attorney.

Information Disclosure Statement with copies of cited art and PTO-1449.

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	24 - 20 =	4
INDEP CLAIMS	3 - 3 =	0
MULTIPLE DEPENDENT CLAIMS PRESENTED		

If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

OTHER THAN A SMALL ENTITY	
RATE	FEES
	\$ 740.00
X \$18 =	0.00
X \$84 =	72.00
+ 270 =	
TOTAL	\$812.00

A check in the amount of \$ _____ to cover the filing fee(s) and a check to cover the recording fee are enclosed.

Please charge my Deposit Account No. 50-0510 in the amount of \$812.00.

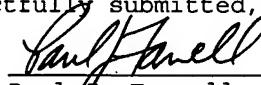
The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0510/IBM (Yorktown Heights). A duplicate copy of this sheet is enclosed.

Any additional filing fees required under 37 CFR 1.16..

Any patent application processing fees under 35 CFR 1.17.

Respectfully submitted,

By:


Paul J. Farrell, Esq.
Registration No. 33,494

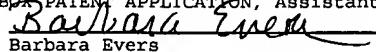
Attorney for:
IBM Corporation
Intellectual Property Law Dept.
P.O. Box 218
Yorktown Heights, NY 10598

Please address all
correspondence to:

DILWORTH & BARRESE, LLP
333 Earle Ovington Boulevard
Uniondale, NY 11553
Tel: (516) 228-8484
Fax: (516) 228-8516

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date January 9, 2002 in an envelope as "Express Mail Post Office to Addressee". Mail Label Number EV035531769US addressed to: Box 218, PATENT APPLICATION, Assistant Commissioner for Patents, Box 218, PATENT APPLICATION, Washington, D.C. 20231.


Barbara Evers

PATENT

Atty. Docket No. YOR9-2001-0578US1 (728-225)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents
Washington, D.C. 20231
Attn: Box Patent Application

UTILITY APPLICATION DATA SHEET UNDER 37 C.F.R. §1.76

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Louis L. HSU, and Rajiv J. JOSHI

For: SEMICONDUCTOR MEMORY SYSTEM HAVING DYNAMICALLY
DELAYED TIMING FOR HIGH-SPEED DATA TRANSFERS

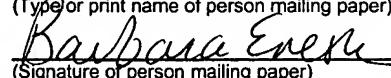
Enclosed are:

- [X] 18 page(s) of specification
- [X] 1 page(s) of Abstract
- [X] 7 page(s) of claims
- [X] 6 sheets of drawings [x] formal [] informal
- [] _____ page(s) of Declaration and Power of Attorney
- [X] 1 page(s) Associate Power of Attorney
- [] _____ page(s) Assignment of Invention

Assignee Name: International Business Machines Corporation
Assignee Resident: Armonk, New York

CERTIFICATION UNDER 37 C.F.R. § 1.10

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Barbara Evers
(Type or print name of person mailing paper)

(Signature of person mailing paper)

This application claims the benefit under 35 U.S.C. §119(e) of U.S. Provisional Application(s) No(s):

APPLICATION NO(S):

FILING DATE

 / _____

[] Certified copy of applications

Country

Appln. No.

Filed

from which priority under Title 35 United States Code, § 119 is claimed

is enclosed.

will follow.

Address all future correspondence to:

**Paul J. Farrell, Esq.
Dilworth & Barrese, LLP
333 Earle Ovington Blvd.
Uniondale, New York 11553
(516) 228-8484**

Date: January 9, 2002



Paul J. Farrell

Paul J. Farrell, Esq.
Reg. No. 33,494
Attorneys for Applicant(s)

**DILWORTH & BARRESE, LLP
333 Earle Ovington Blvd.
Uniondale, NY 11553
Tel. No. (516) 228-8484
Fax. (516) 228-8516**